

## GENERAL CODE OF CONDUCT APPLICABLE TO ALL MEMBERS

### 1. INTRODUCTION

- 1.1 Gafta has a diverse and extensive membership of organisations and individuals involved as trading principals, brokers, superintendents and surveyors, analysts, fumigant operators, arbitrators and mediators as well as some categories of businesses who provide services to the trade.
- 1.2 The prime aim of Gafta is to promote and protect trade in agricultural commodities and general produce to support and protect the character, status and interests of all persons engaged in the trade.
- 1.3 To achieve this, the Council shall strive for the continuous improvement in the quality of Gafta's services. In particular, it shall:
  - (a) provide a framework for trade comprising standard form contracts which do not dictate terms but set out usual trading practices and a fair, just and impartial arbitration service for the settling of trade disputes;
  - (b) provide regular trade policy information and accurately and fairly represent its various trade sectors;
  - (c) provide a comprehensive training schedule under the "Gafta Professional Development" programme respecting the principles of free and fair trade for the benefit of all Members; and
  - (d) provide an international trade assurance scheme.
- 1.4 This Code of Conduct is designed to help support and protect all those engaged in the trade. It applies to all Members of Gafta.
- 1.5 It does not take precedence over any national laws but is intended to set a minimum standard of conduct which all Members are expected to observe both in spirit and to the letter.
- 1.6 There are special Rules and Codes of Conduct which apply additionally to Approved Superintendent and Surveyor Members; Qualified Arbitrators and Qualified Mediators; and Approved Registered Analysts. These are set out separately.
- 1.7 Any Member found to have acted contrary to any provision of the General Rules and Regulations, this Code or any other relevant Rules or Code of Conduct or to their spirit may be subject to disciplinary action.
- 1.8 Members shall take reasonable steps to ensure that their employees, agents and sub-contractors are familiar with the provisions of the General Rules and Regulations, this Code and any other relevant Rules and Codes of Conduct issued by the Association and that they will observe it/them in carrying out any activities in relation to the trade.
- 1.9 Members are responsible for any acts by their employees, agents and sub-contractors which are contrary to any provision of the General Rules and Regulations, this Code or any other relevant Rules or Codes of Conduct, or to their spirit.



## 2. GENERAL CODE OF CONDUCT FOR ALL MEMBERS

- 2.1 Members are reminded of their obligation under the Articles of Association to further, to the best of their ability, the objects, interests and influence of the Association.
- 2.2 Members of Gafta worldwide are committed to ethical professional conduct and operate in good faith to their motto “My Word is My Bond”.
- 2.3 Gafta’s name and logo are trademarked, along with brand names including, but not limited to “Agribility”, “Gafta Approved Registers” and “Gafta Sustainability Pledge”. Gafta’s contracts and Arbitration rules, amongst other Gafta material are copyright. All members have a duty to protect Gafta’s Intellectual Property (IP) and cannot use any copyright or trademarked material without permission.
- 2.4 Members' professional conduct must be guided by basic rules of professional ethics and by the adherence to all applicable laws and regulations, in order to uphold and advance the integrity, honour and dignity of the trading profession. For example:
  - 2.4.1. Members should be aware of how their behaviour may influence or impact on the behaviour of others in organisational relationships. They should not demand, encourage or apply coercion to obtain unethical behaviour in their relationships with others such as employees, suppliers or customers and/or service parties.
  - 2.4.2. A Members’ actions should not knowingly harm the environment or compromise the health and safety of employees and/or other individuals.

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